Head Start Monthly Report May 2022

Conduct of Responsibilities -

Each Head Start agency shall ensure the sharing of accurate and regular information for use by the **Governing Body and Policy Council,** about program planning, policies, and Head Start agency operations, including:

- (A) Monthly financial statements, including credit card expenditures;
- (B) Monthly program information summaries
- **(C)** Program enrollment reports, including attendance reports for children whose care is partially subsidized by another public agency;
- **(D)**Monthly reports of meals and snacks provided through programs of the Department of Agriculture;
- (E) The financial audit;
- (F) The annual self-assessment, including any findings related to such assessment;
- **(G)** The communitywide strategic planning and needs assessment of the Head Start agency, including any applicable updates;
- (H) Communication and guidance from the Secretary;

In accordance with the New Head Start Performance Standards that went into effect on November 7, 2016:

- 1301.2 (b) Duties & Responsibilities of the Governing Body -
- (1) The governing body is responsible for activities specified at section 642©(1)€ of the Head Start Act.
- (2) The governing body must use ongoing monitoring results, data on school readiness goals, and other information described in 1302.102, and information described at section 642(d)(2) of the Act to conduct its responsibilities.

Please see Program Information Summary & attachments to this monthly report for monitoring reports.

A. Monthly Financial Statements including credit card expenditures: Credit Card: \$1233

| Greuit Care | A. 41433 | | |
|-------------|----------------|----------|-----------------|
| 4/1/22 | MC Fairgrounds | \$51.00 | Recruitment |
| 4/4/22 | Survey Monkey | \$384 | Data Collection |
| 4/25/22 | GWL | \$159.60 | A. Esser |
| 4/25/22 | GWL | \$159.60 | S. Stammen |
| 4/25/22 | GWL | \$159.60 | A. Searight |
| 4/25/22 | GWL | \$159.60 | K. Kramer |
| 4/25/22 | GWL | \$159.60 | J. Bell |
| | | | |

Program Information Summary

District affiliated events Director participated in include: Board meeting, Admin mtg, Preschool Discussions

External committees / meetings affiliated with Head Start – Weekly Directors meetings, OHSAI Executive Board, OHSAI REDI, OHSAI Futures Group, H2K planning team, Ohio KAN, OHSAI Community of Learners Director, FCFC Steering Committee

Internal committees / meetings - Policy Council meetings, Administrative meetings, Recruitment, NHSA, Discussion with Treasurer regarding OHS reports, Recruitment, , TTA with grantee specialist for Fiscal (D Jenkins), Monthly call with Regional Office (OHS), Student & Staff Calendars planning discussions,

Trainings provided – PIR – Disabilities, PIR – Family Services, Individual Staff Improvement Plan

Training received - Grant Solutions, CACFP National Conference (virtual)

Completed & submitted NHSA Program of Excellence Indicators.
The program continues to function under a Non-Federal match Waiver

Education – Education Manager and education staff met with local school district staff to discuss children transitioning to kindergarten in the Fall. Celebrated the Week of the Young Child

Mental Health – Significant increase in mental health referrals for children & families this month.

Disabilities – 25 students received IEP services this year. PIR will require Head Start to report and identify children who should have been referred to LEA and were not due to local practice of not evaluating young 3 year olds & DLLs.

Health – Identified a potential partner for next PY to provide onsite dental screenings for children.

ERSEA – Director stopped accepting children into the program at the end of the month for PY 21/22. Recruitment for PY 22/23 is ongoing.

Family Engagement - Attendance continues to be low for POPs events

B. Enrollment / Attendance

105 children are currently enrolled.

Enrollment by Program Option:

| Half Day PY Head Start | 41 | |
|---------------------------------|----|--|
| Full Day School Year Ed Complex | 57 | |
| Full Day School Year Rockford | 7 | |

Attendance by Program Option:

| riccindured by 1 1061 dill operation | | |
|--------------------------------------|--------|--|
| Half Day PY Head Start | 87.95% | |
| Full Day School Year Ed Complex | 91.79% | |
| Full Day School Year Rockford | 88.16% | |

C. CACFP report - CACFP claimed meals

| Month | April 2022 |
|--------|------------|
| Served | |

| Total Days Attendance | Rockford = 12 EC FD = 15, EC PD = 13 |
|--------------------------|---|
| Total Breakfast | 1085 |
| Total Lunches | 1338 |
| Total Snacks | 1049 |
| Total Meals | 3472 |

- D. Self-Assessment -
- E. Community Assessment
 - In Progress
- F. Communication and guidance from the Secretary See attached IM

Attachments to report:

Recruitment Report

ACF-IM-HS-22-03 - SNAP now considered categorically eligible

Verifying Eligibility – Income - Policy Update

Quality Improvement & COLA guidance (Director will be asking for a special Board meeting for approval to meet June 1st submission deadline)

MCHS student calendars

Respectfully submitted,

Amy Esser Executive Director

| 5563-7 | | 90-4743 | CREDITS \$0.00 | PURCHASES \$1,233.00 | CASH ADV \$0.00 | TOTAL ACTIVITY \$1,233.00 |
|--------|-----------------------|---|---|--|--------------------|------------------------------|
| ACCO | UNTING | G CODE: | B t f | Andisibe | | |
| | | | Purchasing | Activity | | |
| | Tran Date 03-31 | Reference Number 55436872091130919908603 | Transaction Descrip MERCER COUNTY P.O.S.: 83703312335 | otion FAIRGROU 419-5863 50001 SALES TAX: | 239 OH 0.00 | Amount 51.00~ |
| 04-04 | 04-01 | 75418232091143972480912 | SMK*SURVEYMONI P.O.S.; 42338588 | KEY.COM 971-231115 SALES TAX: 0.00 | 54 CA | 384.00- |
| | | | | Total Purch | asing Activity | \$435.00 |
| | | | Travel A | ctivity | | |
| | Date | Reference Number 85369432112160205073545 | Transaction Descrip GREAT WOLF LDG 0042160010 | MASON - MASON C | 0H AL: 04-20-22 | Amount 159.60 - |
| 04-25 | 04-21 | 85369432112160205073552 | GREAT WOLF LDG 0042160296 | MASON - MASON C ARRIVA | 0H AL: 04-20-22 | 159.60 |
| 04-25 | 04-21 | 85369432112160205073560 | GREAT WOLF LDG 0042160020 | MASON - MASON C ARRIVA |)H AL: 04-20-22 | 159.60 ~ |
| 04-25 | 04-21 | 85369432112160205073578 | GREAT WOLF LDG 0042160308 | MASON - MASON C ARRIVA | DH AL: 04-20-22 | 159.60- |
| 04-25 | 04-21 | 85369432112160205074386 | GREAT WOLF LDG 0042162283 | MASON - MASON C |)H AL: 04-20-22 | 159.60~ |
| | | | | Total 1 | ravel Activity | \$798.00 |

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| Comments | | | | Need to focus on Rockford area | Added advertisements in Play Program & Sports Program | | | Advocates check posted |
|-------------------------|---|------------------------|------------------------|---|---|---|--|--|
| Outcome | Found that there were ample supplies in storage & that not many supplies needed to be ordered | completed | completed | | No cost | Spent \$759 | Over 40 students age-eligible to return, 20 siblings will be eligible over the next program year | |
| Objective | Take inventory of recruitment supplies | Make necessary changes | Keep things up to date | Make necessary changes & updates | Marketing materials to be appealing to target audiences | Have materials ready for 1^{st} quarter distribution | Provide updated list of children eligible for upcoming program year | Distribute marketing materials and list of places for distribution |
| Costs | Neutral | Neutral | Neutral | Neutral | \$125 | \$1500 | Neutral | Neutral |
| Position Responsible | Director, FESM, FAs | Director, FESM, FAs | HS Secretary | Director, FESM, FAs, Secretaries, Parents | Director, FESM, HS Secretary | HS Secretary | IT Secretary | Director, FESM, FAs |
| External Strategy | | | | | | | | |
| Internal Strategy | Recruitment meeting | Review required forms | Update required forms | Review marketing materials | Update marketing materials | Order Marketing materials | Run returning eligible student & sibling report in COPA | Recruitment Meeting |
| Month | January | | | | | February | | |

| materials monthly | | HS Secretary updates Facebook postings | Completed in March with networking meeting onsite | 25% - Completed in May | Siblings cannot be completed any earlier than 30 days before 3 rd birthday | Videos not completed | No wrong door was not held | | Used remaining yard signs |
|----------------------|--|---|--|---|--|---|---|--|--------------------------------------|
| | | | | 36 returning students completed, 3 siblings completed – May 2022 | | \$0 | \$0 | | |
| | Saturate area with Head Start information | Inform visitors that Head Start is taking referrals for upcoming program year | Provide agency directors with information about the program. | Reach 25% enrollment with returning children | Reach 40% enrollment with siblings | Educate social service agencies on program | Provide materials to other non-profits | Share information, discuss strategy, modify plan if needed | Marketing materials |
| | Neutral | Neutral | Neutral | Neutral | | \$500 | \$200 | Neutral | Neutral |
| | FAs | HS Secretary | FAs | FAs | FAs | Director, FESM, FAs | Director, FESM, FAs | Director, FESM, FAs, Secretaries | FAs |
| | Distribute marketing materials at local social service agencies and other entities identified. | | Distribute recruitment information to COLT members | | | Recruitment presentations to WIC, JFS, Foundations - videos | Attend & present at No Wrong Door - videos | | |
| | | Facebook & website | | Begin applications for returning children and siblings | Begin applications for siblings | | | Recruitment meeting | Identify locations for yard signs |
| | | | | | February | | | | |

| | | | | | | | Activities held internally | | | Supported through scheduling screens | Ads placed in Celina & Rockford | 33% enrollment |
|-------------------------------------|--|---|--|--|--|---|--|---|--|--|---|-------------------------------------|
| Not completed | Completed | FESM distributed flyers to schools | ESC did not ask for our help | Celina did not ask for our help | \$0 | Not completed | 0\$ | | | \$0 | | 12 new applications completed |
| Reach 60% enrollment | Have updated information ready for staff | Identify younger siblings of school aged students throughout the county | Identify potential eligible children through screening | Identify potential enrollees/ students not yet ready to enter kindergarten | Saturate the area with visual flyers with tags | 75% of enrollment complete | Bring awareness to local Head Start program | Provide parents with flyers and info sheets to distribute among friends | Yard signs distributed to local businesses | Recruit & assist with kindergarten screens | Provide articles to local newspapers | 80% enrollment complete |
| Neutral | Neutral | \$50 | Neutral | Neutral | \$250 | Neutral | \$250 | \$50 | | | | Neutral |
| FAs | Director / FESM / HS Secretary | HS Secretary | FAs | FAs | FAs | FAs | All Staff | Parents | FAs, FESM | FAs, FESM | Director, FESM | FAs |
| | | Provide school districts with flyers to be sent home with elementary students | Support ESC with Child Find Activities | Support local districts with kindergarten screens | Post flyers throughout the community. | | | | Distribute Yard Signs | Kindergarten Screens | Public Service announcements | |
| Begin applications on new referrals | Review enrollment packet forms | | | | | Continue to complete applications on new applicants | Week of the Young Child | Parent flyers | | | | Continue to complete |
| March | | | | | | April | | | | | | May |

| | Packets ready for use | Keep information available & current | Reach families in low income housing units | Obtain names of possible applicants | Reach qualified candidates via social media | | 100% enrollment | 100% enrollment | 100% enrollment | Advertise the program within the community | Advertise the program in local paper | Reach families with little to no contact with center | 100% full enrollment |
|--------------------------------|----------------------------|---|--|---|---|-------------------------|-------------------------|-------------------------------|--------------------------|--|---|---|------------------------|
| | \$100 | Neutral | Neutral | Neutral | \$75 | \$400 | Neutral | Neutral | Neutral | Salary costs | \$300 | Neutral | Neutral |
| | HS Secretary | FAs | FAs | Director | HS Secretary | HCSM | FAs | FAs | Director, FESM, EM | FESM, FAS, Driver | FESM | FAs | FAS |
| | | Replenish flyers / posters throughout county agencies | Low income housing applications | | | | | | | Staff & families participate in local parade | Public service announcements and paid advertising | | |
| applications on new applicants | Enrollment packets printed | | | Contact local kindergarten principals for children not ready for for kindergarten | Facebook boosts 4 weeks | Health Screening Day | Complete enrollments | Begin filling slots of MIA | Class lists completed | | | Contact no show appointments and families with no phone at addresses provided | Continue completing |
| | | | | June | | | | 1 | | July | | | |

| | Meet requirements | Reach community at large | Keep information available | מ מייי | Locate children in foster | care placement | 100% full enrollment | | | Children turning 3 after | program year starts or | children late for enrollment |
|------------------------------------|-----------------------------|----------------------------|----------------------------|------------------|---------------------------|----------------------|----------------------|------------|-------------|--------------------------|------------------------|------------------------------|
| | Neutral | \$1500 | \$50 | | Neutral | | Neutral | | | Neutral | | |
| | FAs | FESM | FAs | | FESM | | FAs | | | FAs | | |
| | | Billboards for advertising | Replenish flyers and | service agencies | Contact local JFS for | foster care children | | | | | | |
| enrollments & organize child files | Collect physicals & dentals | | | | | | Continue | completing | enrollments | Continue taking | applications | |
| | | | August | | | | | | | September, | October, | November |



Head Start Categorical Eligibility for Families Eligible for the Supplemental Nutrition Assistance Program

@ eclkc.ohs.acf.hhs.gov/policy/im/acf-im-hs-22-03

View the Latest COVID-19 Updates from the Office of Head Start

Head Start Categorical Eligibility for Families Eligible for the Supplemental Nutrition Assistance Program ACF-IM-HS-22-03

<u>U.S. (United States)</u> Department of Health and Human Services

ACF

Administration for Children and Families

1. Log Number: ACF-IM-HS-22-03

2. Issuance Date: 04/21/2022

3. Originating Office: Office of Head Start

4. Key Words: Head Start; Eligibility; Supplemental Nutrition Assistance Program; SNAP;

Categorical

Information Memorandum

To: All Head Start and Early Head Start Agencies and Delegate Agencies

Subject: Head Start Categorical Eligibility for Families Eligible for the Supplemental Nutrition Assistance Program

Information:

The Administration for Children and Families (ACF) strives to ensure that programs minimize the burden on families seeking public assistance and to coordinate benefit programs in such a way that families who are eligible for one benefit program can more easily participate in other services for which they are eligible. ACF. (Administration for Children and Families) issues this Information Memorandum (IM) to set forth its interpretation of the phrase "public assistance" in Sec. 645 of the Head Start Act to include the Supplemental Nutrition Assistance Program (SNAP). Adopting this interpretation will make it easier for eligible

families to enroll children in Head Start services by allowing families to demonstrate proof of <u>SNAP (Supplemental Nutrition Assistance Program)</u> receipt or eligibility to enroll in Head Start and will simplify the process of determining program eligibility for grantees.

For the purposes of Head Start eligibility determination, the Office of Head Start (OHS) will expand its interpretation of "public assistance," as used in the Head Start statute, to include SNAP (Supplemental Nutrition Assistance Program). OHS (Office of Head Start)'s interpretation of the statute has been to consider only Temporary Assistance for Needy Families (TANF) and Supplemental Security Income (SSI) as public assistance. Previously, we had not considered including SNAP (Supplemental Nutrition Assistance Program) in this definition because it had slightly higher income threshold than the base income threshold for Head Start services. However, recently there has been a sharp reduction in families that establish eligibility through the current public assistance definition, so we have reconsidered this interpretation to make the public assistance route more available to families and grantees.

Upon issuance, this IM (Information Memorandum) adds SNAP (Supplemental Nutrition Assistance Program) to public assistance to determine recipients as categorically eligible for Head Start programs. Recently, we have found that SNAP (Supplemental Nutrition Assistance Program) households with young children have equivalent level of need to families currently receiving Head Start services^[1]. Note that this approach does not guarantee a SNAP (Supplemental Nutrition Assistance Program) recipient enrollment in a Head Start program. Programs must adhere to their recruitment and selection criteria to ensure they prioritize enrollment for those who may benefit most from Head Start services. The sole purpose of this document is to make clear that Head Start programs can consider SNAP (Supplemental Nutrition Assistance Program) as public assistance for purposes of determining Head Start eligibility.

Enhanced Public Assistance Eligibility to Support Enrollment

Congress established Head Start eligibility criteria in <u>Sec. 645(a)(1)(B)(i)</u> of the Head Start Act to include families with incomes at or below the federal poverty level and families that are eligible for public assistance. Congress also allowed for other categorical eligibility allowances which consider family need rather than income. For example, children experiencing homelessness and children in foster care are categorically eligible for Head Start services.

The interpretation provided herein, that <u>SNAP</u> (<u>Supplemental Nutrition Assistance Program</u>) is considered public assistance" for purposes of section 645(a)(1)(B)(i) of the Head Start Act, does not change statutory eligibility criteria. Given the flexibilities inherent in the statute and the duty of programs to ensure they are serving the most needy families in their communities (<u>45 CFR §1302.13</u>), the primary effect of this <u>IM</u> (<u>Information Memorandum</u>) is to eliminate barriers to families who are already eligible for, and in many cases already enrolled in, Head Start services by providing a streamlined way for such families to demonstrate eligibility.

Moreover, inclusion of <u>SNAP (Supplemental Nutrition Assistance Program</u>) as public assistance reduces the challenge of navigating multiple federal program eligibility processes and supports better alignment and coordination across federal programs, a factor that President Biden's <u>Executive Order on Transforming Federal Customer Experience and Service Delivery to Rebuild Trust in Government called for federal agencies to consider.</u>

Since 2015, the share of families enrolling in Head Start services based on the statute's public assistance receipt prong has declined from 16% of the total enrollment to just 10% in 2019. This drop represents more than 50,000 slots and comes at a time when there have been vacant Head Start slots. This has caused unnecessary burden for families and grantees during eligibility determinations and needlessly undercuts the use of public assistance categorical eligibility and has impacted the number of vacant slots. The need for public assistance and the share of Head Start participants receiving public assistance has remained stable over the same timeframe despite the decline in programs using public assistance categorical eligibility. Between 2015 and 2019, nearly half of Head Start enrollees were also receiving SNAP (Supplemental Nutrition Assistance Program) benefits. The addition of SNAP (Supplemental Nutrition Assistance Program) would greatly facilitate the enrollment of children on SNAP (Supplemental Nutrition Assistance Program) who have demonstrated the need for services. Furthermore, the interpretation put forth in this IM (Information Memorandum) will reduce undue burden in the eligibility determination process for these children and their families.

This interpretation is reasonable under the statute, and it furthers the important policy goal of better reaching families who could already benefit from Head Start services but are not currently enrolled. The great majority of young children in SNAP (Supplemental Nutrition Assistance Program) households are in families with incomes below 100% of poverty; but currently, these families must document their income eligibility, which can be burdensome to both families and grantees. Documenting income eligibility requires a large number of pay stubs or other income documentation while SNAP (Supplemental Nutrition Assistance Program) eligibility can be determined by a single document. Allowing programs to count receipt of SNAP (Supplemental Nutrition Assistance Program) as establishing categorical eligibility will reduce these administrative burdens and improve access for these families.

Most (about 3 million) of these families, according to <u>SNAP (Supplemental Nutrition Assistance Program)</u> data, have incomes at or below 100% of the poverty line. [2] This IM (Information Memorandum) provides a new way for such families to more easily prove their eligibility. Some <u>SNAP (Supplemental Nutrition Assistance Program)</u> recipients (about 600,000) have family incomes above the 100% poverty line but only about 150,000 of these families have incomes above 130% of the poverty line. Those families with incomes above 100% but below 130% of poverty could already be eligible for Head Start services through existing allowances for programs to enroll families above the 100% of the poverty line with demonstrated need for program services.

Importantly, all Head Start programs must continue to use their selection criteria to prioritize the enrollment of the families most in need of services as required in 45 CFR §1302.13. Therefore, the inclusion of <u>SNAP (Supplemental Nutrition Assistance Program</u>) is unlikely to substantially expand the number of Head Start participants with incomes exceeding 100% of poverty.

This interpretation also facilitates cross-program recruitment and eliminates duplicative and burdensome paperwork for families who are already eligible for a federal public assistance benefit. Removing the frustration of multiple eligibility processes will allow families to easily access the vital early childhood services that Head Start programs provide.

Implementation of Policy Guidance

Upon issuance of this IM, public assistance includes <u>SNAP</u> (Supplemental Nutrition <u>Assistance Program</u>) for purposes of determining categorical eligibility. Head Start programs can use this guidance in determining eligibility and in enrolling those children that met their selection criteria consistent with the Head Start Program Performance Standards at <u>45 CFR</u> §§1302.10-16. If a program has vacant slots, this guidance can support enrolling additional families.

To verify <u>SNAP (Supplemental Nutrition Assistance Program</u>) receipt or potential eligibility, a program would need to examine and maintain a copy of documentation from the state, local, or tribal public assistance agency as required in <u>45 CFR §1302.12(i)(2)</u>. For example, a family could present a copy of notice of approval, other documentation of eligibility or benefits from the <u>SNAP (Supplemental Nutrition Assistance Program</u>) agency, or an Electronic Benefit Transfer card with <u>SNAP (Supplemental Nutrition Assistance Program</u>) ID number to become categorically eligible for Head Start services.

Programs should consider revisiting their <u>Eligibility</u>, <u>Recruitment</u>, <u>Selection</u>, <u>Enrollment</u>, <u>and Attendance</u> (<u>ERSEA</u>) policies and procedures in light of this guidance. Policies and procedures must always support a program's eligibility determinations to ensure they are meeting all requirements under 45 CFR §1302. Annual review of the community needs assessment at 45 CFR §1302.11(b) will allow programs to determine if, based on <u>SNAP</u> (<u>Supplemental Nutrition Assistance Program</u>) recipients in the community, they need to address their selection criteria as previously referenced. Programs may also examine community partnerships and outreach efforts for ways to encourage <u>SNAP</u> (<u>Supplemental Nutrition Assistance Program</u>) recipients to apply for Head Start services.

Please refer any questions to your <u>ACF (Administration for Children and Families)</u> regional office.

Thank you for your work on behalf of children and families.

/ Dr. Bernadine Futrell /

Dr. Bernadine Futrell Director Office of Head Start

See PDF Version of Information Memorandum:

<u>Head Start Categorical Eligibility for Families Eligible for the Supplemental Nutrition Assistance Program</u> [PDF, 83KB]

^[1] U.S. Department of Agriculture, Food and Nutrition Service, Office of Policy Support, Characteristics of Supplemental Nutrition Assistance Program Households: Fiscal Year 2019. Alexandria, VA, 2021.

[<u>2</u>] Ibid.

Historical Document

Mercer County Head Start Policies and Procedures

| P/P Topic: | Verifying eligibility - Income | P/P #: | |
|--|--|-----------------------------------|------------------|
| Part: | 1302 Program operations | PC Approval Date: | 5/12/2022 |
| Subpart: | A Eligibility, Recruitment, Selection, Enrollment, and Attendance | Last Reviewed Date: | 7/15/2021 |
| Section Title(s): | Determining, verifying, and documenting eligibility | Implementation Responsibility: | Family Advocates |
| Related Performance Standard(s): | 1302.12 (c), (i)(1)(2)(3)(4) | Monitoring Responsibility: | FESM |

| (A) Policy | (1) A pregnant woman or a child is eligible if: | | | | | | | |
|--------------------|---|--|--|--|--|--|--|--|
| ()5 | (i) the family's income is equal to or below the poverty line; or, | | | | | | | |
| | (ii) The family is eligible for or in the absence of childcare would | | | | | | | |
| | be potentially eligible for public assistance; including TANF child | | | | | | | |
| | only payments; or, | | | | | | | |
| | (iii) The child is homeless as defined in part 1305; or | | | | | | | |
| | (iv) The child is in foster care | | | | | | | |
| | (2) If the family does not meet a criterion under paragraph (c)(1) of | | | | | | | |
| | this section, a program may enroll a child who would benefit from | | | | | | | |
| | services, provided that these participants only make up to 10 | | | | | | | |
| | percent of a program's enrollment in accordance with paragraph | | | | | | | |
| | (d) of this section. | | | | | | | |
| | ACF-IM-HS-22-03 – The Administration for Children and | | | | | | | |
| | | | | | | | | |
| | Families (ACF) strives to ensure that programs minimize the | | | | | | | |
| | burden on families seeking public assistance and to coordinate | | | | | | | |
| | benefit programs in such a way that families who are eligible for | | | | | | | |
| | one benefit program can more easily participate in other services | | | | | | | |
| | for which they are eligible. ACF issues the Information | | | | | | | |
| | memorandum (IM) to set forth its interpretation of the phrase | | | | | | | |
| | "public assistance" in Sec. 645 of the Head Start Act to include the | | | | | | | |
| | Supplemental Nutrition Assistance Program (SNAP). | | | | | | | |
| (B) Responsibility | Family Advocates | | | | | | | |

(C) Procedure

The family advocate will assist the parent / guardian in completing the income verification document.

If the family is eligible for or is receiving child care benefits or other benefits deemed TANF (including SSI and SNAP), the appropriate box will be marked on the income verification form.

Each family will complete a residency document. This document outlines the parameters of the *McKinney Vento* definition of homeless. If the family indicates that they are homeless the appropriate box will be marked on the income verification form.

During the application, if the parent / guardian indicate that the child is in foster care or an out of home placement based on court order, the Family Advocate will obtain a release of information to obtain the legal documentation to verify placement. In the event that the child's placement meets the definition of foster care, the appropriate box will be marked on the income verification for.

It is the responsibility of the Family Advocate to help secure this documentation once the child is enrolled in the program.

During the application process the parent / guardian must provide documentation to prove the family's income or lack thereof. Documentation accepted includes: tax forms, pay stubs, written statements from employers, self-employment disclosures for the relevant time period (12 months either immediately previous to the application date or the previous calendar year tax forms), SNAP eligibility letter indicating family is eligible at the time of application.

*Per federal guidance, COVID (pandemic) unemployment compensation / insurance and stimulus payments are not to be counted in income. Staff are to note unemployment on the income verification document and that it is NOT counted in income.

If the family reports that a significant change has happened in the relevant time period, family advocates may calculate income based on *current circumstances*.

The Family Advocate will calculate the family's total income. If the total income falls at or below the federal poverty guidelines the family is deemed eligible for services. If the family's income is above the federal poverty guidelines, the family can be considered for services but is deemed ineligible.

The income verification form must be reviewed and signed by a parent / guardian, and staff member completing the form. The form is then reviewed for accuracy by the Family Engagement Services Manager and Director.

| ACF Administration for Children and Families | U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES | | | | | | |
|--|---|------------------------------|--|--|--|--|--|
| | 1. Log No. ACF-PI-HS-22-02 | 2. Issuance Date: 04/14/2022 | | | | | |
| | 3. Originating Office: Office of Head Start | | | | | | |
| | 4. Key Words: Consolidated Appropriations Act; Appropriations; Fiscal Year 2022; Funding Increase; Cost of Living Adjustment; Quality Improvement | | | | | | |

PROGRAM INSTRUCTION

TO: Head Start and Early Head Start Grant Recipients and Delegate Agencies

SUBJECT: FY 2022 Head Start Funding Increase

INSTRUCTION:

President Biden signed the Consolidated Appropriations Act, 2022, into law on March 15, 2022. The funding level for programs under the Head Start Act (the Act) is \$11,036,820,000, an increase of \$289 million over fiscal year (FY) 2021. This increase includes \$234 million to provide all Head Start, Early Head Start, and Early Head Start-Child Care (EHS-CC) Partnership grant recipients a 2.28% cost-of-living adjustment (COLA) and \$52 million for quality improvement. The total appropriation also includes \$6 million for Tribal College and University Head Start (TCU-HS) Partnership programs, of which \$2 million is an increase over the FY 2021 funding level to supplement existing TCU-HS Partnership grants.

This Program Instruction (PI) provides information about COLA and quality improvement funds available to grant recipients and TCU-HS Partnership supplemental funding. All Head Start, Early Head Start, and EHS-CC Partnership grant recipients are eligible to receive COLA and quality improvement funding. Grant recipients subject to competition for continued funding through the Designation Renewal System (DRS) are entitled to COLA funds through the end of their current award; however, the Administration for Children and Families reserves the right to delay decisions on quality improvement funding until DRS competition decisions are final. State collaboration grants are not eligible for COLA or quality improvement funding due to the statutory cap on their funding in the Head Start Act.

FY 2022 COLA

Each grant recipient may apply for a COLA increase of 2.28% of the FY 2021 base funding level. Base funding excludes training and technical assistance funds and any one-time funding received during FY 2021.

COLA funds must be used to permanently increase the Head Start pay scale by no less than 2.28% and be applied from the start of a recipient's FY 2022 budget period, which may need to be retroactively applied. This includes salaries of current staff and the pay range of unfilled vacancies. An equivalent increase must be provided to delegate agencies and other partners to adjust their salaries and scales. Any grant recipient concerned that they cannot increase salaries for staff due to wage comparability issues should ensure public school salaries for elementary staff are included in their considerations.

Sections 653 and 640(j) of the Act provide further guidance on the uses and limitations of COLA funds. Section 653 restricts compensation to a Head Start employee that is higher than the average rate of compensation paid for substantially comparable services in the area where the program is operating. It also prohibits any Head Start employee from being compensated at a rate that exceeds that of an Executive Schedule Level II position, including employees being paid through indirect costs. Section 640(j) of the Act requires that compensation of Head Start employees be improved regardless of whether the agency has the ability to improve the compensation of staff employed by the agency that do not provide Head Start services.

Any grant recipient proposing to apply a COLA percentage less than 2.28% across its pay scale, or differential increases between delegates or partners, must justify its rationale in its application.

As specified in Personnel policies, 45 CFR §1302.90, each grant recipient is required to establish written personnel policies and procedures that are approved by the governing body and Policy Council. They must be made available to all staff. Personnel policies and procedures should be reviewed as they may contain information relevant to this COLA.

Any remaining funds may be applied to fringe benefits costs or used to offset increased operating costs in other areas of the budget. This includes increased costs in rent, utilities, facilities maintenance and insurance, contractual arrangements, vehicle fuel and maintenance, and supplies.

FY 2022 Quality Improvement

Each grant recipient will be allocated an amount of quality improvement funding proportionate to their federal funded enrollment.

A program may apply to use quality improvement funds for activities consistent with Sec. 640(a)(5) of the Head Start Act, as outlined in Attachment A, except that any amount of these funds may be used on any of the activities specified in such section. Programs are not bound by the requirements that at least 50% of the funds be used for staff compensation or that no more than 10% of funds be used on transportation. However, the Office of Head Start (OHS) strongly encourages grant recipients to prioritize investing this funding to increase compensation for staff (wages and benefits) to help recruit and retain a qualified Head Start workforce.

Adequate compensation is necessary to secure a well-qualified workforce. Retaining high-quality staff in Head Start programs can promote continuity of care for children and more positive outcomes for children and families. Currently, the low wages of Head Start staff, particularly frontline staff who work directly with children and families on a regular basis, do not align with their qualifications or the significant role they play in shaping child and family outcomes. Staff turnover in Head Start programs has increased nearly every year since 2010, and this pattern has been further exacerbated by the pandemic. Low, stagnant wages are likely a key contributor to increasing staff turnover rates.

The Head Start workforce plays a critical role in buffering the impacts of trauma on children and families by promoting resilience through stronger parent-child relationships, strong relationships between staff and children and between staff and families, and through connections to community supports. However, the formation of these key relationships is disrupted by high turnover rates and lack of frontline staff, particularly teachers, assistant teachers, home visitors, family child care providers, family service

workers, and staff who provide mental and behavioral health services. Increasing staff wages to promote recruitment and retention will contribute to a more stable learning environment for children and more stable connections for families. OHS strongly encourages programs to use as much of this funding as possible to improve the compensation of Head Start staff, particularly for frontline staff as described above or positions that experience high rates of turnover and are challenging to fill.

Lastly, grant recipients should consider ongoing, sustained investments in quality improvements, as opposed to one-time investments. OHS does acknowledge that one-time investments in FY 2022 may be necessary to sustain ongoing quality improvement. Grant recipients encountering other one-time program improvement needs are invited to apply for supplemental funding as needs emerge. These separate requests are addressed by priority and subject to availability of funds.

Application Requirements for COLA and Quality Improvement Funding

Grant recipients are required to request COLA and quality improvement funds through an application in the Head Start Enterprise System. A funding guidance letter will be issued shortly to specify each funding level and additional instructions on how to apply for these funds.

TCU-HS Partnership Program Supplemental Funding

Two million dollars is available to supplement grants of existing tribal colleges and universities funded under the Act to expand their current efforts related to supporting career pathways and degree obtainment for Head Start staff in partnership with American Indian and Alaska Native Head Start agencies. Existing grant recipients will be issued a funding guidance letter and additional instructions on how to apply for funds. Supplemental funding will be awarded by the end of September 2022.

Please direct any questions regarding COLA and quality improvement funding to your regional office. Existing TCU-HS Partnership grant recipients can direct any questions on available supplemental funding to their federal project officer.

Thank you for your work on behalf of children and families.

/ Dr. Bernadine Futrell /

Dr. Bernadine Futrell Director Office of Head Start

ACF-PI-HS-22-02 FY 2022 Head Start Funding Increase

Attachment A:

Allowable Uses of Quality Improvement Funds as Specified in the Head Start Act1

- 1. To improve the compensation (including benefits) of educational personnel, family service workers, and child counselors, as described in sections 644(a) and 653 of the Head Start Act, in the manner determined by the Head Start agencies (including Early Head Start agencies) involved, to support the following
 - o ensure that compensation is adequate to attract and retain qualified staff for the programs involved in order to enhance program quality;
 - o improve staff qualifications and assist with the implementation of career development programs for staff that support ongoing improvement of their skills and expertise; and
 - o provide education and professional development to enable teachers to be fully competent to meet the professional standards established under Sec. 648A(a)(1) of the Act, including the following
 - providing assistance to complete postsecondary course work;
 - improving the qualifications and skills of educational personnel to become certified and licensed as bilingual education teachers, or as teachers of English as a second language; and
 - improving the qualifications and skills of educational personnel to teach and provide services to children with disabilities.
- 2. To support staff training, child counseling, and other services necessary to address the challenges of children from immigrant, refugee, and asylee families; homeless children; children in foster care; children with limited English proficiency; children of migrant or seasonal farmworker families; children from families in crisis; children referred to Head Start programs (including Early Head Start programs) by child welfare agencies; and children who are exposed to chronic violence or substance abuse.
- 3. To ensure that the physical environments of Head Start programs are conducive to providing effective program services to children and families, and are accessible to children with disabilities and other individuals with disabilities.
- 4. To employ additional qualified classroom staff to reduce the child-to-teacher ratio in the classroom and additional qualified family service workers to reduce the family-to-staff ratio for those workers.
- 5. To ensure that Head Start programs have qualified staff that promote the language skills and literacy growth of children and that provide children with a variety of skills that have been identified, through scientifically based reading research, as predictive of later reading achievement.

¹ Please note that the language in this document comes directly from <u>Sec. 640(a)(5)</u> of the Head Start Act, except that language has been removed from no. 1 specifying that at least 50% of the funds must be used for staff compensation and language has been removed from no. 8 specifying that no more than 10% of the funds can be used on transportation to align with the FY 2022-enacted appropriation language.

- 6. To increase hours of program operation, including the following
 - o converting part-day programs to full-working day programs; and
 - o increasing the number of weeks of operation in a calendar year.
- 7. To improve communitywide strategic planning and needs assessments for Head Start programs and collaboration efforts for such programs, including outreach to children described in no. 2 above.
- 8. To transport children in Head Start programs safely.
- 9. To improve the compensation and benefits of staff of Head Start agencies, in order to improve the quality of Head Start programs.



[External Message] Prior Approval Waiver Requirements extension for requests related to COVID-19

1 message

HSES Announcements <notice@hsesannouncements.org>
Reply-To: HSES Announcements <notice@hsesannouncements.org>
To: amv.esser@mercerheadstart.org

Tue, Mar 29, 2022 at 12:35 PM



Grant Recipients,

The Office of Head Start (OHS) remains committed to responding thoughtfully to recipient concerns and providing the administrative relief necessary to complete grant-related activities. One such response included the fiscal and administrative flexibilities outlined in ACF-IM-HS-21-01. While there are several flexibilities still in place, a number have expired. Albeit we cannot extend every previous fiscal and administrative flexibility afforded to our Head Start community, OHS recognizes the impact on recipients and is extending all the provisions that are within our authority. Therefore, OHS is immediately extending the prior approval waiver requirements for requests related to COVID-19 as specified below.

Prior approval waiver requirements. 45 CFR § 75.407; 2 CFR § 200.407. Recipients may use funds from their current operating awards to respond to and recover from the impacts of COVID-19. All costs charged to federal awards must be consistent with federal cost policy guidelines and the terms of the award, except where specified in this IM. For expenses necessary to respond to COVID-19, OHS recipients may utilize the following waivers of prior approval requirements. These waivers are in effect until OMB memorandum M-21-20 expires and/or is rescinded.

- 1. Prior approval for the purchase of equipment (45 CFR §75.308(c)(1)(xi)). Recipients may purchase equipment needed to respond to COVID-19 with a value of up to \$25,000 without prior ACF approval.
- 2. Budget modifications (45 CFR §75.308(e)). To allow recipients more flexibility to spend funds as needed to respond to COVID-19 and, when possible, quickly move to reopen closed centers, prior approval is waived for budget transfers between direct cost categories for an aggregate amount not to exceed \$1 million.
- 3. Procurement by noncompetitive proposals (45 CFR §75.329(f)(2)). OHS recognizes that COVID-19 has created a public emergency for all recipients. Competitive solicitations may result in delays that impair a recipient's ability to respond to or recover from COVID-19. OHS is authorizing recipients to engage in sole-source purchasing to obtain goods and services needed for COVID-19 response and recovery.

OHS intends to revise <u>ACF-IM-HS-21-01</u> to address the extension of these previously expired prior approval waiver requirements.

Our recipients have shown an extraordinary amount of resilience. It's our hope that these provisions provide some respite as programs continue to recover and work toward full in-person comprehensive services.

Thank you for your work on behalf of children and families as we continue to navigate the COVID-19 pandemic.

/ Dr. Bernadine Futrell /
Dr. Bernadine Futrell
Director
Office of Head Start

HSES Help Desk

Head Start Enterprise System

Email: help@hsesinfo.org

Toll Free: 866-771-4737 Local: 571-429-4858

Hours of Operation:

Monday-Friday 8:00 AM-7:00 PM ET Excluding federal holidays and weather-related federal office closures

You are receiving this email because you are established as a contact in the Head Start Enterprise System for communications.

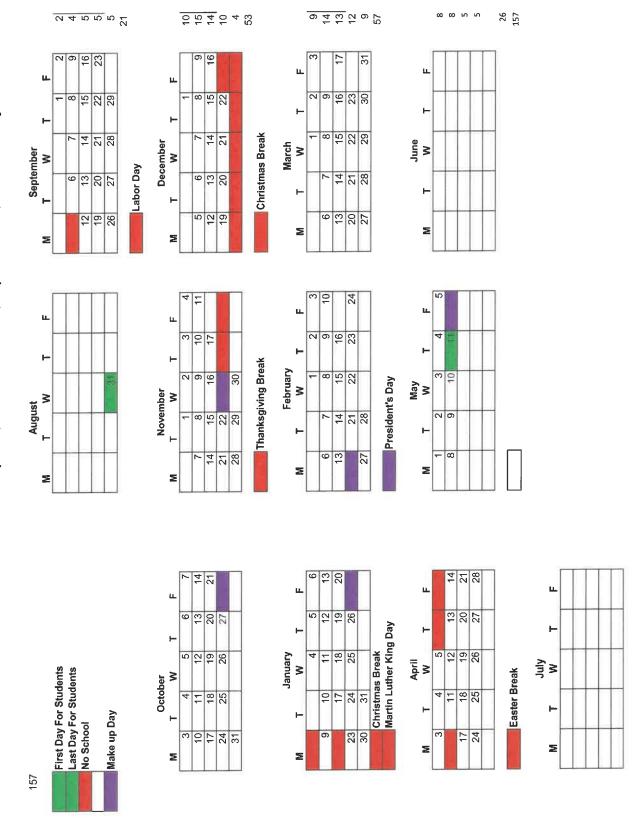
This email was sent to amy.esser@mercerheadstart.org

why did I get this? unsubscribe from this list update subscription preferences

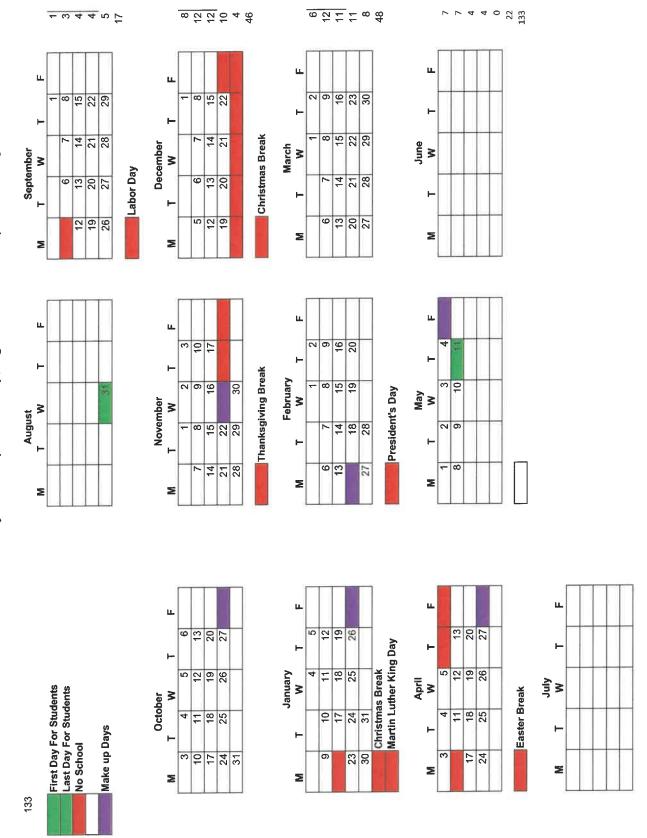
Office of Head Start · Head Start Enterprise System · Washington, D.C. 20201-0001 · USA

2022 - 2023 STUDENT CALENDAR

Starboard Sea Captains, Lakeside Learners, Captain's Crew, Anchor's Away

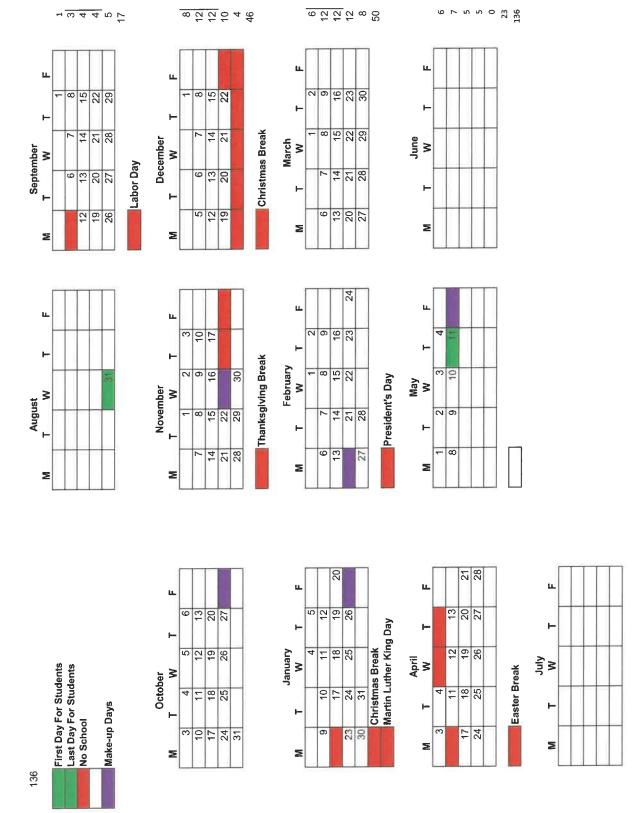


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2022 - 2023 CALENDAR

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